

GENERAL DUE DILIGENCE

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| Company name: |
| Registered number: |

APPENDIX No.2 DUE DILIGENCE CHECKLIST LEGAL ENTITIES – ULTIMATE HOLDING ENTITIES

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|--|-------------------|
| Name: | |
| Position (director, shareholder etc.): | |
| Percentage of the shareholder rights: | |
| Date of registration: | Registration No.: |
| Jurisdiction/Domicile: | |
| Registered Office Address: | |

- | | |
|---|--------------------------|
| Certified copy of Certificate of Incorporation <i>(one required)</i> | <input type="checkbox"/> |
| Certified copy of Memorandum and Articles of Association <i>(one required)</i> | <input type="checkbox"/> |
| Original or certified copy of Certificate of Good Standing <i>(one required if the legal entity was incorporated more than 12 months ago)</i> | <input type="checkbox"/> |
| Register of Directors <i>(one required unless indicated in other submitted document)</i> | <input type="checkbox"/> |
| Register of Shareholders <i>(one required unless indicated in other submitted document)</i> | <input type="checkbox"/> |
| Register of Beneficial Owners <i>(one required)</i> | <input type="checkbox"/> |
| Proof of Authority; showing authorization to act on behalf of the legal entity <i>(one required)</i> | <input type="checkbox"/> |
| Resolution | <input type="checkbox"/> |
| Certificate of Incumbency | <input type="checkbox"/> |
| Power of Attorney | <input type="checkbox"/> |
| Certified Valid Full Passport of the director(s) <i>(one required of each director)</i> | <input type="checkbox"/> |
| Certified Valid Full Passport of authorized signatory(s) <i>(one required of each signatory)</i> | <input type="checkbox"/> |
| Bank Reference Letter <i>(required, if applicable)</i> | <input type="checkbox"/> |

Ultimate Holding Entity must also provide all required Due Diligence documents of its private individual Ultimate Beneficial Owners. The copies of the above mandatory Due Diligence documents may be faxed or emailed to us for review but the **originals** must be sent to us by courier or mail. The LAVECO GROUP may undertake work upon receipt of the above copies but regretfully we cannot deliver the documents relating to the company until the originals have been received. All documents must be in English or if not then a translation from an independent and accredited translator should be attached.

Place and date: Signature:
Name:

This Appendix must be duly signed by at least one of the beneficial owners over the age of 18.